BARRIERS TO COMMUNICATION BETWEEN MEN*

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IN THINKING about the many barriers to personal communication, particularly those that are due to differences of background, experience, and motivation, it seems to me extraordinary that any two persons can ever understand each other. Such reflections provoke the question of how communication is possible when people do not see and assume the same things and share the same values.

On this question there are two schools of thought. One school assumes that communication between A and B, for example, has failed when B does not accept what A has to say as being fact, true, or valid. The goal of communication is to get B to agree with A's opinions, ideas, facts, or information.

The position of the other school of thought is quite different. It assumes that communication has failed when B does not feel free to express his feelings to A because B fears they will not be accepted by A. Communication is facilitated when on the part of A or B or both there is a willingness to express and accept differences.

As these are quite divergent conceptions, let us explore them further with an example. Bill, an employee, is talking with his boss in the boss's office. The boss says, "I think, Bill, that this is the best way to do your job." Bill says, "Oh yeah?" According to the first school of thought, this reply would be a sign of poor communication. Bill does not understand the best way of doing his work. To improve communication, therefore, it is up to the boss to explain to Bill why his way is the best.

From the point of view of the second school of thought, Bill's reply is neither a sign of good or bad communication. Bill's response is indeterminate. What Bill means, the boss has an opportunity to find out if he so desires. Let us

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assumes that this is what he chooses to do, i.e., find out what Bill means. So this boss tries to get Bill to talk more about his job while he (the boss) listens.

For purposes of simplification, I shall call the boss representing the first school of thought "Smith" and the boss representing the second school of thought "Jones." In the presence of the so-called same stimulus, each behaves differently. Smith chooses to explain, Jones chooses to listen. In my experience Jones's response works better than Smith's. It works better because Jones is making a more proper evaluation of what is taking place between him and Bill than Smith is. Let us test this hypothesis by continuing with our example.

The Assumptions, Perceptions, and Feelings of Smith

Smith assumes that he understands what Bill means when Bill says, "Oh yeah!" so there is no need to find out. Smith is sure that Bill does not understand why this is the best way to do his job, so Smith has to tell him. In the process let us assume Smith is logical, lucid, and clear. He presents his facts and evidence well. But, alas, Bill remains unconvinced. What does Smith do? Operating under the assumption that what is taking place between him and Bill is something essentially logical, Smith can draw only one of two conclusions: (1) either he has not been clear enough, or (2) Bill is too damned stupid to understand. So either he has to "spell out" his case in words of fewer and fewer syllables, or give up. Smith is reluctant to do the latter, so he continues to explain. What happens?

If Bill still does not accept Smith's explanation of why this is the best way for him to do his job, a pattern of interacting feelings is produced of which Smith is often unaware. The more Smith cannot get Bill to understand him, the more frustrated Smith becomes and the more Bill becomes a threat to his logical capacity. Since Smith sees himself as a fairly reasonable and logical chap, this is a difficult feeling to accept. It is much easier for him to perceive Bill as uncooperative or stupid. This perception, however, will affect what Smith says and does. Under these pressures Bill comes to be evaluated more and more in terms of Smith's values. By this process Smith tends to treat Bill's values as unimportant. He tends to deny Bill's uniqueness and difference. He treats Bill as if he had little capacity for self-direction.

Let us be clear. Smith does not see that he is doing these things. When he is feverishly scratching hieroglyphics on the back of an envelope, trying to explain to Bill why this is the best way to do his job, Smith is trying to be helpful. He is a man of good will and he wants to set Bill straight. This is the way Smith sees himself and his behavior. But it is for this very reason that Bill's "Oh yeahs" are getting under Smith's skin. "How dumb can a guy be?"

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1 For the concepts I use to present my material I am greatly indebted to some very interesting conversations I had with my friend, Irving Lee.
Unfortunately, Bill will hear this more than Smith's "good intentions." Bill will feel misunderstood. He will not see Smith as a man of good will trying to be helpful. Rather he will perceive him as a threat to his self-esteem and personal integrity. Against this threat Bill will feel the need to defend himself at all cost. Not being as logically articulate as Smith, Bill expresses this need by saying, "Oh yeah!"

Assumptions, Perceptions, and Feelings of Jones

Let us leave this sad scene between Smith and Bill, which I fear is going to terminate by Bill either leaving in a huff or being kicked out of Smith's office. Let us turn for a moment to Jones and see what he is assuming, seeing, hearing, feeling, doing, and saying when he interacts with Bill.

Jones, it will be remembered, does not assume that he knows what Bill means when he says "Oh yeah," so he has to find out. Moreover, he assumes that when Bill said this, he had not exhausted his vocabulary or his feelings. Bill may not necessarily mean one thing; he may mean several different things. So Jones decides to listen.

In this process Jones is not under any illusion that what will take place will be essentially logical. Rather, he is assuming that what will take place will be primarily an interaction of feelings. Therefore, he cannot ignore the feelings of Bill, the effect of Bill's feelings upon him, or the effect of his feelings upon Bill. He cannot ignore his relationship to Bill. He does not assume that Bill's attitude toward him makes no difference to what Bill will hear or accept. Therefore, Jones will be paying strict attention to all of the things Smith has ignored. Jones will be addressing himself to Bill's feelings, his own, and the interactions between them.

Jones will, therefore, realize that he had ruffled Bill's feelings with his comment, "I think, Bill, this is the best way to do your job." So instead of trying to get Bill to understand him, he decides to try to understand Bill. He does this by encouraging Bill to speak. Instead of telling Bill how he should feel or think, he asks Bill such questions as, is this what you feel, is this what you see, is this what you assume? Instead of ignoring Bill's evaluations as irrelevant, not valid, inconsequential, or false, he tries to understand Bill's reality as Bill feels it, perceives it, and assumes it to be. As Bill begins to open up, Jones's curiosity is piqued by this process. Instead of seeing Bill as stupid, he perceives Bill as quite an interesting guy.

This is what Bill hears. Therefore, Bill feels understood and accepted as a person. He becomes less defensive. He is in a better frame of mind to explore and re-examine his own perceptions, feelings, and assumptions. In this process he perceives Jones as a source of help. Bill feels free to express his differences. He feels that Jones has some respect for his capacity for self-direction. These
positive feelings toward Jones make Bill more inclined to say, "Well, Jones, I don’t quite agree with you that this is the best way to do my job, but I’ll tell you what I’ll do. I’ll try to do it that way for a few days, and then I’ll tell you what I think."

Conclusions

I grant that my two orientations do not work themselves out in practice in quite as simple or neat a fashion as I have been able to work them out on paper. Bill could have responded to Smith in many other ways. He might even have said, "O.K., boss, I agree that your way of doing my job is better." But Smith still would not have known how Bill felt when he made this statement or whether Bill was actually going to do his job differently. Likewise, Bill could have responded to Jones in a way different from my example. In spite of Jones’s attitude, Bill might still be reluctant to express himself freely to his boss.

The purpose of my examples has not been to demonstrate the right or wrong way of doing something. My purpose has been to provide something concrete to point to when I make the following generalizations:

1. Smith represents to me a very common pattern of misunderstanding. It does not arise because Smith is not clear enough in expressing himself. It arises because of Smith’s misevaluation of what is taking place when two people are talking together.

2. Smith’s misevaluation of the process of personal communication consists of certain very common assumptions. Three of these very common assumptions are: (a) that what is taking place is something essentially logical; (b) that words in themselves apart from the people involved mean something; (c) that the purpose of the interaction is to get Bill to see things from Smith’s point of view.

3. Because of these assumptions, a chain reaction of perception and negative feelings is engendered which blocks communication. By ignoring Bill’s feelings and by rationalizing his own, Smith ignores his relationship to Bill as one of the most important determinants of the communication. As a result, Bill hears Smith’s attitude more clearly than the logical content of Smith’s words. Bill feels that his individual uniqueness is being denied. His personal integrity being at stake, he becomes defensive and belligerent. As a result, Smith feels frustrated. He perceives Bill as stupid. As a result he says and does things which only provoke more defensiveness on the part of Bill.

4. In the case of Jones, I have tried to show what might possibly happen if we made a different evaluation of what is taking place when two people are talking together. Jones makes a different set of assumptions. He assumes (a) that what is taking place between him and Bill is an interaction of sentiments; (b) that Bill—not his words in themselves—means something; (c) that
the object of the interaction is to give Bill an opportunity to express freely his differences.

5. Because of these assumptions, a psychological chain reaction of reinforcing feelings and perceptions is set up which facilitates communication between him and Bill. When Jones addresses himself to Bill’s feelings and perceptions from Bill’s point of view, Bill feels understood and accepted as a person; Bill feels free to express his differences. Bill sees Jones as a source of help; Jones sees Bill as an interesting person. Bill in turn becomes more cooperative.

6. If I have identified correctly these very common patterns of personal communication, then some interesting hypotheses can be stated:

(a) Jones’s method works better than Smith’s not because of any magic, but because Jones has a better map than Smith of the process of personal communication.

(b) The practice of Jones’s method, however, is not merely an intellectual exercise. It depends upon Jones’s capacity and willingness to see and accept points of view different from his own, and to practice this orientation in a face-to-face relationship. This practice involves an emotional as well as an intellectual achievement. It depends in part upon Jones’s awareness of himself; it depends in part upon the practice of a skill.

(c) Although our colleges and universities try to get students to appreciate intellectually points of view different from their own, very little is done to help them to implement this general intellectual appreciation in a simple face-to-face relationship—at the level of a skill. Most universities train their students to be logical, lucid, and clear. Very little is done to help them to listen more skillfully. As a result, our educated world contains too many Smiths and too few Joneses.

(d) The biggest block to personal communication is man’s inability to listen intelligently, understandingly, and skillfully to another person. This deficiency in the modern world is widespread and appalling. In our universities as well as elsewhere, too little is being done about it.

In conclusion, let me apologize for acting toward you like Smith. But who am I to violate a long-standing academic tradition!

We do not think of the designing of a radio station or a power plant as a linguistic process, but it is one nonetheless. The necessary mathematics is a linguistic apparatus, and without its correct specification of essential patterning the assembled gadgets would be out of proportion and adjustment, and would remain inert.

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